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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Baroky et al.

Serial No.: 10/609,190

Examiner: Roy, S.

Filing Date: June 27, 2003

Group Art Unit: 2879

Title: WHITE LIGHT EMITTING DEVICE

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☐ Under 37 CFR 1.97(b).  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☒ Under 37 CFR 1.97(c) together with **either** a:  
☒ Statement under CFR 1.97(e), or  
☐ \$180.00 fee under 37 CFR 1.17(p).  
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a  
Statement under 37 CFR 1.97(e), **and**  
\$180.00 fee as set forth in 37 CFR 1.17(p).  
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee):

☒ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☒ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application; and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (\*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, now U.S. Patent No. \_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☒ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.


☒ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-3718** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.



Respectfully submitted,  
Baroky et al.

By

  
Jay K. Malkin  
Attorney/Agent for Applicant(s)

Reg. No. 31,393

Date:

1/10/07

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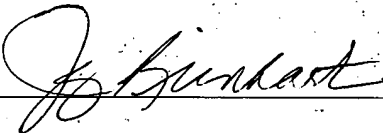
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10/609,190

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

## APPLICANT

Baroky et al.

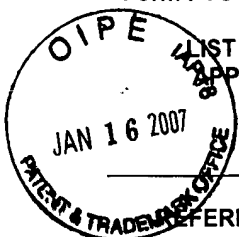
## FILING DATE

June 27, 2003

## GROUP

2879

(Use several sheets if necessary)



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		6,340,824	January 22, 2002	Komoto et al.
		2002/0074558	June 20, 2002	Hata et al.
		2002/0187571	December 12, 2002	Collins, III et al.

## FOREIGN PATENT DOCUMENT

	DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
				YES	NO
	WO 98/12757 A1	March 26, 1998	Hohn et al. (w/English Abstract)		X
	WO 00/33390 A1	June 8, 2000	Srivastava et al.		

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	German Office Action dated November 22, 2006 involving German counterpart application no. 10 2004 016 232.8-54
	English Translation of German Office Action dated November 22, 2006 involving German counterpart application no. 10 2004 016 232.8-54

EXAMINER

DATE CONSIDERED

\* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)